



Dep & Ref  
R000 307

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Newman, K. R.

§

Serial No: 09/992,098

§ Art Unit: 2673

Filed: 11/26/0101

§

§ Examiner:

§

§ Atty Docket No: CTES 011

For: Database Systems & Methods §

#3 Reg for Refund  
3-27-02

**Request for Refund Under 37 CFR 1.28(a)**

Assistant Commissioner  
For Patents  
Washington, D.C. 20231

OFFICE OF FINANCE  
REFUND DIVISION  
MAR 12 AM 9:30  
US MAIL & TRADEMARK  
OFFICE

Dear Sir:

This is a Request for a refund of \$723 (half the filing fee of \$1446 sent with this application on 11/19/01) under 37 CFR 1,28 (a) based on later establishment of small entity status. Submitted herewith under 37 CFR 1.27 (c)(1) is a written assertion of small entity status for the applicant and assignee of the above-identified application. This Request is made within three months of the payment of the filing fee.

Respectfully submitted,

Guy McClung  
Reg. No. 29,008

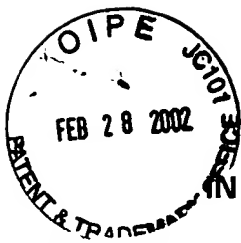
Date: 2/14/02  
PMB 347  
16690 Champion Forest Drive  
Spring, TX. 77379--7023  
Phone: 281 893 5244

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with sufficient postage as first class U.S. mail with the United States Postal Service in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on 14 FEB 02

Date: 2/14/02

Guy McClung, Reg. No. 29,008



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**Assertion of Small Entity Status Under 37 CFR 1.27(c)(1)**

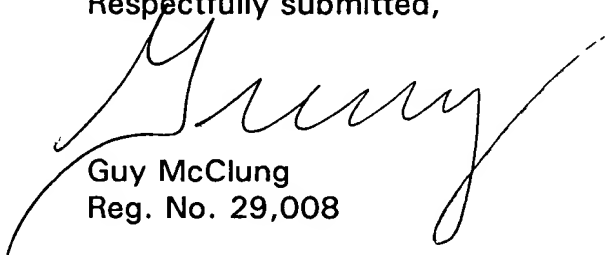
Assistant Commissioner  
For Patents  
Washington, D.C. 20231

Dear Sir:

This is an Assertion Of Small Entity Status Under 37 CFR 1.27(c)(1). The applicant in the above-identified patent application, Kenneth R. Newman, and the assignee of record of this application, CTES, L.C. of Conroe TX are both small entities under 37 CFR 1.27 and are both entitled to small entity status under 37 CFR 1.27.

Respectfully submitted,

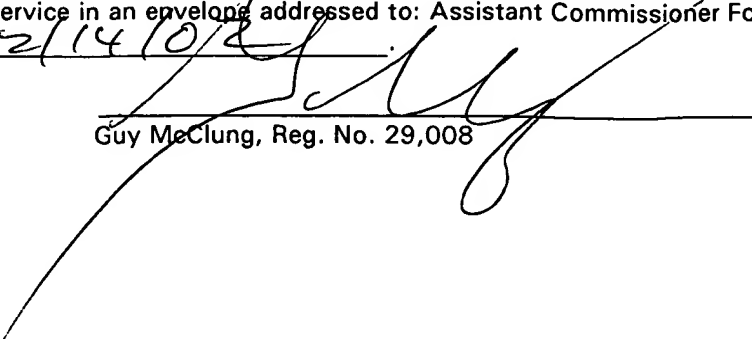
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Date: 2/14/02

  
Guy McClung, Reg. No. 29,008